

1 Giant cell tumor of the sacrum: Series of 19 patients and review of the literature.

2 Abstract

3 Introduction: There are still some debates regarding the best treatment of Giant Cell Tumor (GCT) of the  
4 sacrum. Since GCT of this location is rare, therapeutic strategies are mainly based on the treatment of  
5 GCT in other anatomic locations. The objective of this study was to evaluate the oncologic and clinical  
6 results of surgical management of sacral GCT with and without local adjuvant therapy.

7 Methods: Medical records of 19 patients diagnosed with GCT of the sacrum, were retrospectively  
8 reviewed. Sixteen patients were treated by intralesional curettage and three patients with marginal  
9 resection. Musculoskeletal tumor society (MSTS) score was used for the evaluation of functional  
10 outcome.

11 Results: Prolonged pain was the most common complication after treatment. Mean Pre and post-  
12 operative pain based on visual analogue scale (VAS) was  $6.1 \pm 1.99$  and  $3.05 \pm 1.64$ , respectively.  
13 Postoperative neurologic deficit appeared in six patients. In addition, infection occurred in five patients.  
14 One case of spinopelvic instability was also observed after surgery. At average follow up of  $158.5 \pm 95.9$   
15 months (range 25 to 316 months), recurrence was seen in eight (42.7%) out of seventeen patients  
16 treated by intralesional curettage. The size of the tumor significantly correlated with the tumor  
17 recurrence ( $r=0.654$ ,  $p=0.001$ ). Mean MSTS score was  $74.7 \pm 16.78$ . Those patients, in whom sacral  
18 nerve roots remained intact before and after surgery, had better functional outcome.

19 Conclusion:

20 Preservation of sacral nerve roots is associated with better functional outcome and less pain. Although  
21 an acceptable surgical outcome was observed in our cohort, the problem of local recurrence still  
22 warrants further investigations for better local control of the tumor.

23 Key words: Giant cell tumor, sacrum, recurrence, intralesional curettage.

## 24 Introduction

25 In the axial skeleton, sacrum is the most common place of involvement by where Giant cell tumors (GCT)  
26 form. The incidence of GCT in the sacrum is between 6.7% to 9.4% in different series (1, 2). Currently,  
27 there is no agreement regarding the treatment of GCTs of rare localizations, including small bones, pelvis,  
28 spine, or sacrum (1, 3, 4). Treatment of GCTs of axial skeleton even is more complicated (1). This is most  
29 likely due to its rarity, and also owing to the limited surgical accessibility and proximity of the tumor to  
30 the nerve roots (1). The literature provides only small case series of GCT of the spine or sacrum with mostly  
31 short-term follow-ups (5). In this review, we explain the results of the treatment of 19 cases of sacral GCT,  
32 with respect to the current literature.

## 33 Patients and Methods

34 During 1990 to 2014, 286 patients with confirmed GCT were surgically treated in Shafa Orthopedic  
35 Hospital, from which, sacral GCT were identified in 26 patients. Seven patients were absent during follow-  
36 up, but the remaining 19 patients were assessed in the final evaluation. Patient's medical and surgical  
37 records were reviewed and required necessary documents, including pathological and radiological  
38 assessments, were obtained from their medical files. Tumor size was retrospectively assessed using  
39 imaging or pathological data, available for patients treated in the last 24 years. The latest follow-up was  
40 performed by personal contact in the ambulatory clinic.

41 Treatment decisions were made by a multidisciplinary team, including orthopedic oncologist, radiologist,  
42 pathologist, and clinical oncologist. Depending on the extent and level of the tumor, patients underwent  
43 intralesional curettage or marginal excision with a posterior approach alone or a combination of posterior  
44 and anterior approaches. In this regard, in those tumors, which had a large anterior soft tissue component,

45 an anterior approach was performed in order to release anterior organs from the tumor before the  
46 posterior approach, at the same operating session. Accordingly, three patients of our series were treated  
47 by combined anteroposterior approach, while in the case of the remaining 16 patients, posterior approach  
48 was used.

49 All of the marginal excisions were performed on tumors which were distally located (S3-S4) in sacrum and  
50 had small sizes (4 cm in largest diameter). There was no attempt for reconstruction of these defects,  
51 because the tumors were not in the weight-bearing area of the skeleton.

52 In those patients with posterior-only approach, a posterior midline incision was used to approach the  
53 sacrum. Intra-operative radiographies were used to confirm the sacral level when necessary. After  
54 removal of the lamina of the corresponding sacral segments by using a high-speed burr, thecal sac was  
55 reached, and was dissected free from the tumor mass. By using rubber bands, sacral nerve roots were  
56 protected, and were kept away from the tumor. From this point on, dissection was different in those who  
57 had an excision and those who had curettage. In the patients with excision, ventral organs were dissected  
58 away from the tumor mass. By making an interrupted cut in the sacrum and connecting them together.  
59 Subsequently, osteotomy of the sacrum was completed and the tumor was dissected away from the  
60 remaining sacrum. In those patients with curettage, complete intralesional curettage was performed,  
61 using currets and high-speed burs.

62 Preoperative radiographs were used for the evaluation of spinopelvic stability. Spinopelvic stability was  
63 considered intact if we could bilaterally preserve at least the cephalad, 50% of the S-1 vertebra and  
64 sacroiliac joints (6). Spinopelvic biomechanical stability, which had been previously defined as “the ability  
65 of the pelvis to withstand normal physiologic loads without displacing” (7) was assessed by the attending  
66 surgeons based on preoperative and intraoperative manual assessment. In cases with spinopelvic  
67 instability, spinopelvic fixation was performed.

68 In order to manually assess sacroiliac stability, vertical and rotational forces were applied to the pelvis at  
69 the end of each surgery by the attending surgeon. If there was any vertical or rotational instability caused  
70 by destruction of the sacroiliac joint, sacroiliac instrumentation or structural allograft were implemented  
71 (2) (Fig.1).

72 After curettage, either bone grafting, or bone cement packing was used for reconstruction of the defect.  
73 The choice between bone graft and bone cement was made considering the following facts: in cases  
74 where the void was contained, corticocancellous bone grafting was used (Fig.1). In those patients with a  
75 non-contained defect after curettage, bone cement packing was used. In the cementing group of patients,  
76 gel foam and saline irrigation were used to protect sacral nerve root against the heat of the cementing  
77 process.

78 None of our patients received radiotherapy as the sole treatment modality, or as the adjuvant therapy for  
79 the primary operation. External beam irradiation was performed in two patients, both as postoperative  
80 adjuvant therapy, in the treatment of tumor recurrence.

81 All patients were followed up every three months for the first two years, every six months for the third  
82 year, and yearly thereafter. In each follow-up visit, plain radiography of the pelvis and chest had been  
83 taken. In case of finding any abnormality in plain radiographs, computed tomography of the area was  
84 requested and further imaging evaluations were performed. Patients with recurrence were followed  
85 similar to primary patients with serial clinical examination and radiographs.

86 For the functional outcome, musculoskeletal tumor society score, MSTs, was used. According to the this  
87 scoring system, numerical values (0 to 5) are given to each of the six categories of pain, function,  
88 emotional acceptance, supports, walking and gait. The total score for the system between 0 and 30 is  
89 given to each patient with 0 indicating poor and 30 indicating excellent functional result (10). Pain was  
90 assessed based on visual analogue scale (VAS) (8).

91 Results

92 The average follow-up time was 158 months, ranging from 25 to 316 months. The mean age of the patients  
93 was  $29.47 \pm 8.14$  years, ranging from 18 to 46 years. Six patients were male, and 13 were female. The mean  
94 size of the tumors was  $6.26 \pm 3.12$  cm, ranging from 2 to 15 cm.

95 Eighteen tumors were located in the sacrum and one was in the sacrum extending to Ilium. Location of  
96 the tumors is seen in Table 1. Chief complaint of the patients was pain. Pain was present in all but one  
97 patient who was referred to us for evaluation of an incidental finding in the pelvic radiograph. Four  
98 patients had paresthesia of the lower limb or buttock, and one patient presented with had cauda equina  
99 syndrome. Clinicodemographic characteristics of the patients have been demonstrated in Table 2.

100 After primary surgery, eight out of 19 patients had local recurrence (42.1%), which in one case coincided  
101 with pulmonary metastasis. All recurrences were observed in patients who had undergone intralesional  
102 curettage, while no recurrence was observed in the excision group (three patients). The mean time to first  
103 recurrence was 11.87 months ranging from 4 to 26 months. Mean recurrence- free survival of patients  
104 was  $186.5 \pm 34.8$  months (95% CI, from 118.3 to 254.7) (Fig.2).

105 All of recurrent tumors underwent re-operation. In all of the second surgeries, methyl metacrylate was  
106 used for the purpose of the reconstruction of the defect and as an adjuvant therapy. We had two repeat  
107 recurrences (Cases No 5 & 15). Two patients of the recurrent tumor group received external beam  
108 irradiation (EBI) as an adjuvant therapy for local control of their recurrent tumors. No further recurrence  
109 was observed afterwards.

110 At the last follow-up visit, none of the patients had any sign of tumor recurrence. Infection was seen in  
111 five patients after surgery, which was superficial in two cases, and deep in three. All patients were  
112 managed by debridement, and use of intravenous antibiotics based on the culture results. Primary or

113 secondary wound closure was finally done in all patients. After irrigation and debridement, infection was  
114 resolved in all except one patient (Case No 15). She was reluctant to have another surgery and we decided  
115 to control the infection by antibiotics. This patient is still under oral antibiotic therapy for infection control  
116 whenever there is sign of infection. Neurologic status of the patients at the time of presentation is  
117 demonstrated in table 2. We did not encounter any weakness in preoperative period in our patients. It  
118 is possible to have paresthesia without weakness when there in sacral injuries such as fractures (9).  
119 Neurological complication after surgery occurred in 6 out of 19 patients, and these ranged from numbness  
120 to bladder or bowel dysfunctions. The patient with cauda equina syndrome showed incomplete recovery  
121 after surgery, and claw toes and neurogenic bladder were present. Patients with injury to sacral nerve  
122 roots manifested by sensory, motor disturbance, or incontinence (all cases except number 1, 2, 4, 6, 8, 11,  
123 17, and 19), had more pain based on VAS, and lower functional outcome, according to MSTS score. The  
124 average preoperative VAS was 6, which was reduced to 2 postoperatively (Table 3). One patient developed  
125 pulmonary metastasis which was resolved by thoracotomy and metastasectomy leading to disease- free  
126 survival. In the last follow-up, all patients were alive. Six out of the 19 patients were free of pain. From 16  
127 patients, who were treated by curettage, cement packing and bone grafting was used in four and twelve  
128 patients respectively. The average size of the tumors was  $6.73 \pm 3.01$ cm, ranging from 2 to 15 cm. We had  
129 only one recurrence in the group with cement packing (25% recurrence), and seven recurrences in the  
130 group with bone grafting (53.8%). A significant positive correlation was observed between local  
131 recurrence and the size of the tumors ( $r = 0.443$ ,  $P = 0.029$ ).

## 132 Discussion

133 GCT is slightly more common in females than in males, with a ratio of 1.2 to 1. In sacral GCT, this gender  
134 difference is more pronounced, so that in one study 69.2% of sacral GCT patients were female (1). In our

135 patients, 13 out of the 19 (68.4%) patients were female, but due to small numbers of patients in this study,  
136 these ratios may be incidental.

137 Recommendations for treatment of GCT in extremities are based on the retrospective series of patients  
138 and not on randomized trials. On this basis, most authors consider intralesional excision as the treatment  
139 of choice (11). Some authors (12) advocate the use of adjuvants like phenol, alcohol, hydrogen peroxide,  
140 liquid nitrogen, or methyl methacrylate to decrease the rate of local recurrence, while others find this  
141 unnecessary (11). After a tumor was is curetted, the cavity can be left unfilled or filled with bone graft or  
142 cement (11). Based on some reports, the nature of the filling material or the type of adjuvant method or  
143 any combination of both did not have any significant effect on the recurrence rate after surgery (11), while  
144 other reports are in favor of using high-speed burr of the margins after curettage, and bone cement  
145 packing for achieving the lowest recurrence rate (13).

146 In GCT of sacrum or pelvis, the treatment goal of GCT of long bones cannot be achieved fully. Tumors in  
147 these areas, especially in the sacrum, often compress the spinal nerve roots; therefore, complete  
148 curettage is hardly possible. In addition, local adjuvants such as bone cement, phenol, or cryotherapy have  
149 limited use close to nerve roots, due to their toxic effects on nervous tissue (12). Treatment modalities of  
150 GCT of the sacrum include either surgical or nonsurgical. Possible surgical treatment options include  
151 intralesional curettage, and partial sacrectomy combined with either irradiation or arterial embolization  
152 (5, 14, 15). Wide or marginal excision of the tumor or en bloc resections may result in a lower recurrence  
153 rate but often cause unacceptable neurological damage (14). Nonsurgical treatments include a variety of  
154 modalities including external beam irradiation (EBI), selective arterial embolization (SAE),  
155 bisphosphonates and Demosumab. Nowadays, many authors would prefer to perform SAE instead of  
156 primary irradiation whenever surgery is not reasonable (1). Surgical treatments can be combined with  
157 nonsurgical methods in an attempt to decrease the rate of local recurrence.

158 En bloc excision of the GCT of the sacrum is the best method of obtaining local control, and whenever is  
159 practically possible, it is the treatment of choice. This is mostly applicable to giant cell tumors below the  
160 S3 level (5). In Leggon's series, surgical excision with wide margins had the best results in terms of local  
161 control, with 0% of local recurrence, but at the expense of iatrogenic nerve injury. This complication will  
162 be greater if the higher levels are involved (3). In 16 out of 19 patients of our series, the location and  
163 extent of the tumor would have impeded achieving wide margins without damaging multiple nerve roots  
164 leading to almost certain incontinence and impotence as well as lower limb weakness and complete  
165 lumbopelvic disassociation in the majority of the cases. Due to high morbidity and complications,  
166 resection of GCT of sacrum with wide surgical margins, is only justified when the tumor is distal enough  
167 to minimize the risk of iatrogenic nerve damage (5). This treatment modality was performed in just three  
168 tumors among our patients. After resection, no attempt was performed made to reconstruct the missing  
169 part, mainly due to the small size, and distal position of the defect within the sacrum. There was no  
170 recurrence in these patients. The lack of recurrence in these patients was in concordance with the  
171 literature which shows that with en bloc excision of the GCT of sacrum, tumor recurrence is the lowest  
172 among other methods of surgery (3, 5).

173 Intralesional curettage as the method of treatment of the GCT of sacrum, either alone or in combination  
174 with adjuvant has had results that is lower than either en bloc resection or SAE. Leggon et al. found a 48%  
175 risk of local recurrence in patients treated with curettage alone and a 47% risk of local recurrence with  
176 curettage combined with radiotherapy (3). Based on some reports, intralesional curettage in most parts  
177 of the tumor as possible may have less recurrence and distant metastasis compared with the standard  
178 curettage (2, 16).

179 Local recurrence was observed in eight cases patients (47%) who had intralesional curettage, and in no  
180 patient who was managed by excision. We had a 25% recurrence rate in the group of patients with bone



181 cement packing and 53.8 % in those with bone grafting, which was in accordance with the previous reports  
182 favoring bone cement as a method of adjuvant and reconstruction of the tumor after surgery (12, 17). We  
183 decided to manage the local recurrences by repeat curettage and cementing, which is a well-known  
184 method of treatment in the recurrence of GCT in long bones (18). The recurrence is always more difficult  
185 to treat than the primary tumor, thus, every attempt should be made to avoid its occurrence as much as  
186 possible.

187 Serial Arterial Embolization (SAE) offers the best results published so far in managing giant cell tumor of  
188 the sacrum (19,29,30). In the patient series published by Hosalkar et al., this treatment option was  
189 successful in seven out of nine cases (19). In this method, repeated embolization was stressed by Hosalkar  
190 to ensure that all the major blood vessels feeding the tumor have been controlled. Due to its high success  
191 and low morbidity, SAE is suggested as the primary treatment option for any patient with giant cell tumor  
192 of the sacrum. If the patient develops local progression or recurrence, then an alternative treatment is  
193 needed. SAE, as the sole treatment modality, may have a risk of diagnostic error, because only needle  
194 biopsy is used in this method (19). Since required equipment was not available at our center, we did not  
195 use SAE as the sole therapy, or pre-operative treatment modality in our patients.

196 Radiotherapy has been used to treat sacral giant cell tumors, but recurrence rates as high as 49% have  
197 been reported (3), and other complications, such as post-radiation fibrosis and radiation-induced  
198 malignancy, also may arise (3). The risk of radiation-induced sarcomas, was between 3% to 11% in the  
199 series reported by different authors (3, 20). Chakravarti reported five cases of sacral giant cell tumor  
200 treated with radiotherapy with doses between 40 and 70 Gy. Two of the patients did not respond and  
201 developed progression at 5 and 8 months, respectively and both required surgery, while the other three  
202 patients remained disease free at follow-up between 3 and 10 years. Based on this data, they  
203 recommended a dose of 50 Gy to maximize local control (20). We only used radiotherapy in two patients

204 after repeat surgery following local recurrence. In our two cases, a dose of 50 Gy in 25 fractions was used.  
205 We did not use radiotherapy after 2004 for in any patient mainly due to the results of the series published  
206 by Leggon, which showed that the outcome of intralesional curettage with or without radiotherapy is  
207 similar (3). In addition, due to conflicting results of radiotherapy in GCT of sacrum, and the risk of  
208 malignant transformation in those receiving EBI, we were hesitant to use radiotherapy in primary  
209 surgeries, and only used this modality as an adjuvant for tumor recurrence. Improvements in radiotherapy  
210 targeting, notably the use of intensity-modulated radiotherapy, may increase efficacy and decrease the  
211 side effects in the future for these tumors.

212 Cryotherapy is other non-surgical therapeutic modality, and different results have been reported for it.  
213 Although favorable results are reported by Marcove et al. (16), the outcomes published by Leggon et al.,  
214 who reported a 62% rate of local recurrence in eight patients treated with curettage and cryosurgery, is  
215 not fascinating (3). In addition, the potential complications such as permanent nerve damage make this  
216 treatment available at a few centers in the world only that have the expertise. Although there have been  
217 promising reports of biphosphonates and Denosumab in the management of giant cell tumor at the  
218 present time, these remain unproven in any clinical trial, and they might offer alternative treatment  
219 options in the future (21). We did not use any of these medications in the treatment of our patients.

220 Pulmonary metastases have been described for GCT of long bones and axial skeleton (4, 12, 14, 22). The  
221 rate of pulmonary metastasis in GCT of the axial skeleton in different series is variable. While in some  
222 series its occurrence is higher than its rate in GCT of the appendicular skeleton (22), in other series there  
223 was not any case reported (14). Young age during diagnosis, axial location of the primary GCT, Enneking's  
224 stage-3 disease, and local recurrence are found as risk factors for pulmonary metastasis, according to a  
225 recent report (22). Our patient series included just one pulmonary metastasis. The person was a patient  
226 with tumor recurrence and diagnosed with aneurismal bone cyst, ABC, superimposed on GCT.

227 Receptor activator of nuclear factor  $\kappa$ -B ligand (RANKL) has been implicated in pathophysiology of GCT  
228 (23). Denosumab, which is a human monoclonal antibody, binds to RANKL and prevents its activation,  
229 thereby restraining both the destructive properties and the population of giant cells (24). It has been  
230 shown that subcutaneous injection of the Denosumab can decrease pain and increase functional levels of  
231 patients with unresectable or recurrent GCT (25). In another study, it is demonstrated that Denosumab  
232 can inhibit progression of GCT, leading to decreased need for surgery (26). Unresectable pulmonary  
233 metastases has also been changed to resectable metastases by using Denosumab (27). Still, another  
234 report demonstrated that neoadjuvant therapy with Denosumab can make osteoclast-type giant cells  
235 disappear, both in the original tumor location, and in its pulmonary metastasis (28). All these encouraging  
236 results may introduce Denosumab as a new preoperative adjuvant therapy for patients with GCT of the  
237 sacrum as a modality to decrease the high morbidity associated with the surgery of this tumor.

238 Limitations: The small number of patients is one of limitations of this study, which makes statistical  
239 comparisons less valuable. SAE was not available in our patients, and this was another limitation of this  
240 study.

241 Conclusion: We observed that preservation of sacral nerve roots was associated with better functional  
242 outcome and less pain in our patients. Although an acceptable surgical outcome was observed in our  
243 cohort, the problem of local recurrence still warrants further therapeutic modalities for better local  
244 control of the tumor.

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