

1 **ABSTRACT**

2

3 *Background:* Changes in paraspinal muscle cross-sectional surface area (CSA) have been shown
4 to occur in patients with chronic and acute low back pain. Numerous factors can affect muscle
5 density; however, the relationship between metabolic disease, such as type II diabetes mellitus
6 (DM) and lumbar paraspinal morphometry, has not been examined. Therefore, we set out to
7 compare paraspinal CSA in diabetic patients undergoing lumbar fusion to patients without
8 diabetes.

9 *Methods:* A retrospective review of 101 patients, who underwent posterior lumbar fusion for
10 degenerative spondylolisthesis, was performed. Data collected included preoperative Oswestry
11 Disability Index, SF-12 physical and mental health scores, and visual analog scale for back pain.
12 Utilizing standard measurement software for the iliocostalis, longissimus, multifidus, and psoas
13 muscles, individual paraspinal muscle CSA was measured on preoperative magnetic resonance
14 imaging. Average CSA measurements were then compared between diabetic and non-diabetic
15 patients utilizing Student's t-test.

16 *Results:* Thirteen patients were included in the DM group while 88 composed the non-DM
17 group. There were no differences in age or body mass index between the groups. There were no
18 significant differences in preoperative health-related outcome scores. Average psoas muscle
19 iliocostalis as well as multifidus CSA were not significantly different between the non-DM and
20 DM groups ($p=0.09, 0.263, 0.458$). The longissimus CSA was significantly decreased in the DM
21 group compared to the non-DM ($p=0.004$).

22 *Conclusions:* This study shows that type II DM is associated with decreased paraspinal muscle
23 CSA, but this finding was specific to the longissimus muscle. Our findings suggest that
24 metabolic factors may play an important role in the maintenance of paraspinal muscle balance.

25 Level of Evidence: Level IV, cohort study

26 **Key Words:** lumbar spine, paraspinal musculature, diabetes mellitus, sarcopenia, magnetic
27 resonance imaging

28

29 **INTRODUCTION**

30 Type II diabetes mellitus (DM) is associated with increased risk of perioperative
31 complications following lumbar spine surgery, including surgical site infection and venous
32 thromboembolism events (1,2). Type II DM patients have also been shown to have longer
33 hospital length of stay (LOS), higher visual analog scale (VAS) back pain scores, and higher
34 rates of discharge to a rehabilitation or skilled nursing facility following surgery (3).
35 Furthermore, long-term follow-up of patients with DM yields lower patient satisfaction and
36 higher disability scores with recurrent low back pain (4,5). With approximately 29.1 million
37 Americans diagnosed with DM, and direct medical costs estimated at \$176 billion in 2012, DM
38 is a major chronic debilitating disease that requires further evaluation of its pathology and
39 sequelae, particularly in preoperative evaluation for spine surgery(6).

40 Several recent studies have evaluated the morphology of the lumbar paraspinal
41 musculature measured by cross-sectional area (CSA) as a predictor of chronic low back pain and
42 spinal degenerative disc disease (7, 8). Patients with smaller CSA of the lumbar paraspinal
43 musculature, such as the psoas and multifidus, have increased rates of chronic LBP, worse
44 lumbar spine degenerative disease, and increased perioperative morbidity (9). Decreased CSA of
45 the paraspinal musculature can be due to multiple factors, such as age-related atrophy,
46 deconditioning from lack of regular exercise, and inflammation that damages the functional
47 sarcomere units.

48 Poor patient-reported outcomes following lumbar surgery for DM patients, particularly
49 chronic low back pain and continued disability, may be associated with paraspinal muscle
50 dysfunction (8, 9). The pathogenesis of DM can lead to elevated body mass index (BMI) and
51 peripheral neuropathy, which has been shown to lead to worsening muscular endurance and

52 proprioception of the lower extremities (10,11). Higher risk of falls and injury during
53 postoperative mobilization can impede recovery of normal ambulatory function for the DM
54 patient following lumbar surgery. Given the multiple systemic effects of type II DM, especially
55 peripheral neuropathy and microvascular disease, we hypothesize that DM may affect the
56 morphology of lumbar paraspinal musculature, leading to disability. The objective of this study
57 is to determine whether there is an association between DM and decreased CSA of paraspinal
58 musculature in patients with chronic LBP and lumbar spine disease who are evaluated for lumbar
59 fusion surgery.

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61 **PATIENTS AND METHODS**

62 After institutional review board approval was obtained, a retrospective review of
63 outpatient medical records was performed for patients with lumbar spondylolisthesis who
64 underwent a single level posterior lumbar decompression and fusion. Cohorts were separated
65 based on the preoperative diagnosis of type II DM from the outpatient chart history and physical
66 exam. All of the patients in the DM cohort were insulin-dependent at the time of the evaluation.
67 Hemoglobin A1c measurements could not be recorded for the DM cohort due to the retrospective
68 nature of the study.

69 The data were collected from seven surgeons from 01/2014-12/2014 with all procedures
70 performed at a single institution. Preoperative patient-reported scores, including the Oswestry
71 Disability Index (ODI), Short Form 12 Physical (SF-12 P), Short Form 12 Mental (SF-12 M) and
72 back pain VAS scores, were included for analysis. Demographic data, including age, BMI,
73 smoking status, history of coronary artery disease and/or congestive heart failure, were also
74 recorded.

75 Inclusion criteria were any patient above the age of 18 at our institution diagnosed with
76 degenerative lumbar spondylolisthesis, based on the ICD-9 code 721.42, with a preoperative
77 lumbar spine MRI and completed preoperative patient-reported outcome scores. Patients under
78 the age of 18 years, those lacking a preoperative lumbar spine MRI or completed preoperative
79 patient-reported outcome scores, or those with a diagnosis other than degenerative lumbar
80 spondylolisthesis (such as spinal cord injury or metastatic disease) were excluded from the study.
81 Patients were also excluded if they had a myopathic disorder such as muscular dystrophy.

82 T1-weighted axial MRI images were evaluated independently by two reviewers, both
83 blinded to their respective measurements. Using Sectra Liteview PACS Imaging measurement
84 software, the CSA of the posterior paraspinal musculature (multifidus, iliocostalis, and
85 longissimus), as well as the psoas CSA, were measured. The measurements were taken through
86 the same axial cut at the midpoint of the L4 vertebral body [Figure 1]. The average of the two
87 measurements was then recorded as the CSA for that muscle.

88 The total posterior paraspinal CSA, total measured musculature CSA, and ratio of
89 muscular surface area to BMI were also recorded for analysis. This ratio was utilized to help
90 correct for variation in patient height, which impacts the BMI and potentially confounds the CSA
91 of paraspinal musculature due to body habitus. This also helps correct for patients with a
92 significant amount of subcutaneous fatty tissue, which potentially impacts muscular function,
93 patient mobility, and chronic LBP.

94 Data analysis was performed using SPSS software (Version 22.0 IBM Armonk, NY).
95 Categorical data were analyzed with the Chi Square Test and continuous data with the two-tailed
96 Student t-test. Statistical significance was set with an alpha of 0.05 and power with a beta value

97 of 0.80. The primary outcome was the comparison of paraspinal muscle CSA between the DM
98 and non-DM cohort.

99

100 **RESULTS**

101 One hundred and one medical records met inclusion criteria and were reviewed. Thirteen
102 patients were included in the DM group and 88 in the non-DM group. There were no statistical
103 differences in age, BMI, or history of CAD/CHF between the groups. There was a larger
104 percentage of patients in the DM group with a history of smoking relative to the non-DM cohort.
105 There were no significant differences in preoperative ODI, VAS back pain scores, or SF-12
106 mental/physical health scores between groups [Table 1]. The average time of lumbar spine MRI
107 to outpatient evaluation was 3.6 months. All the muscle CSA measurements were larger in the
108 non-DM group compared to the DM group. The longissimus CSA was significantly decreased in
109 the DM group, with an average surface area of 574.8 mm² and 724.3 mm² for the non-DM and
110 DM groups, respectively (p=0.004). Total average posterior paraspinal muscle (Iliocostalis,
111 Longissimus, and Multifidus) CSA was 2381.3 mm² and 2077.2 mm² for the non-DM and DM
112 group, respectively (p=0.07). Total average measured muscle (psoas included) CSA was 3474.6
113 mm² and 3015.5 mm² for the non-DM and DM group, respectively (p=0.08). A significant
114 difference was found in the ratio of total muscle CSA to BMI between groups, with the non-DM
115 group at 115.26 and the DM group at 96.41 (p=0.04) [Table 2].

116 **DISCUSSION**

117 Type II DM continues to be a challenging comorbidity to manage in surgical candidates
118 for lumbar spine pathology. Its systemic manifestations can lead to end organ damage and
119 significant inflammatory response, as well as damage to the peripheral nerves and

120 microvasculature, especially in the lower extremities (11, 12). These patients have higher
121 obesity rates and metabolic dysfunction that leads to increased fatty tissue in both the
122 subcutaneous and visceral layers of the body, making mobilization and rehabilitation difficult in
123 the immediate postoperative period (11). Sensitization of peripheral sensory nerves can also lead
124 to an elevated pain response and increased requirement for opiate medications during the
125 postoperative period (12). Understanding the risks inherent to this diagnosis and optimizing
126 patient musculoskeletal conditioning prior to surgery may provide significant benefits to the
127 overall success of lumbar decompression and fusion.

128 There has been increasing interest in recent literature examining the correlation of the
129 morphology, atrophy and/or fatty infiltration, of paraspinal lumbar musculature with lumbar
130 degenerative disc disease, as well as leg pain/weakness, and chronic LBP. Zotti et. al evaluated
131 66 patients with LBP, neurogenic claudication, and spinal stenosis undergoing posterior lumbar
132 decompression and found significantly worse outcomes at 1 and 2 years in the ODI and Core
133 Outcome Measures Index (COMI) in patients with multifidus CSA <8.5 cm² and higher levels of
134 qualitative muscular fatty infiltration (13). Teichtatl et. al performed a cross-sectional study of
135 72 patients with high intensity LBP and ambulatory dysfunction and measured the CSA and fatty
136 infiltration of the multifidus and grouped erector spinae muscles, and found that fatty infiltration,
137 not CSA, of the paraspinal musculature was significantly associated with type II modic changes
138 and severe, chronic LBP (14). Both the quality and quantity of paraspinal musculature may be
139 important as a predictor of perioperative mobilization following lumbar surgery.

140 Obesity and insulin resistance that lead to type II DM has been established to increase
141 musculoskeletal inflammation and dysfunction (11). The deposition of glucose into the skeletal
142 muscle and its utilization during aerobic exercise is limited in patients with type II DM due to

143 failure of the insulin signaling-cascade (15). This dysregulation can lead to the atrophy of lean
144 skeletal muscle and the deposition of fatty tissue in its place, causing sarcopenic obesity, which
145 leads to decreased physical function and activity level (16, 17). Thus, metabolic disorders such
146 as type II DM can create a positive feedback cycle of muscle inflammation and degradation,
147 which can further worsen inactivity and muscular atrophy.

148 Our analysis found an overall larger CSA of all the paraspinal musculature within the
149 non-DM cohort compared to the DM cohort. The longissimus CSA and total muscle SA to BMI
150 ratio were significantly larger in the non-DM cohort. The results of this analysis demonstrated a
151 morphologic difference in the paraspinal musculature of patients with DM II compared to the
152 non-DM cohort. Although the CSA of the paraspinal musculature was identified no difference
153 was identified in the patient reported outcome measures between both cohorts. This finding
154 suggests that type II DM and its pathologic process leads to atrophy of the paraspinal
155 musculature. Previous studies have established a correlation with paraspinal atrophy and chronic
156 LBP which was not identified during this analysis. Other complications of paraspinal atrophy
157 included an increased risk of poor postoperative mobilization, which can lead to a higher risk of
158 adverse events such as VTE, atelectasis, and leg weakness, thus worsening overall outcomes
159 (17).

160 To the best of our knowledge, this is the first study to evaluate paraspinal musculature
161 morphology in relation to type II DM in patients with degenerative lumbar spondylolisthesis.
162 The strengths of this study include full preoperative patient-reported outcome scores and lumbar
163 spine MRI imaging within a similar timeframe. We utilized an established method of measuring
164 the CSA of the paraspinal musculature and had two independent measurements of CSA that were
165 averaged to reduce bias. The study cohorts had similar demographic data and represented a

166 general population of patients with prior advanced imaging who were seeking surgical
167 consultation for lumbar spondylolisthesis.

168 The limitations of this study include its retrospective nature, which can lead to potential
169 observer and/or reporting bias. Additionally, our study population is limited in size, particularly
170 the DM cohort, but this was intentional in order to focus on insulin-dependent patients that had
171 all of the preoperative data available for analysis. Postoperative outcomes were not completed
172 for this study due to the lack of complete patient-reported outcomes, and the scope of this study
173 was in the preoperation DM cohort. Lumbar spine MRIs were performed at separate locations
174 with different machines, and this could potentially affect image quality and CSA measurements.
175 However, all the imaging data were uploaded into our software system to standardize the
176 measurement process and limit this weakness.

177 **Conclusion**

178 Patients with type II DM showed smaller paraspinal muscle CSA overall, with
179 significantly smaller longissimus muscle CSA and SA to BMI ratio compared to patients without
180 type II DM. Further research should focus on prospectively assessing patients with type II DM
181 after lumbar surgery for LBP and ambulatory dysfunction with long term clinical follow up.

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183 **Disclosures**

184 The authors report no conflict of interest concerning the materials or methods used in this study or the
185 findings specified in this paper.

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