

1 **Abstract**

2 **Purpose:** There is no difference between patellar resurfacing versus non-resurfacing in the
3 functional outcome 6 months after total knee arthroplasty (TKA) for knee osteoarthritis. Thus,
4 we have performed this study to compare the short-term clinical outcomes of TKA performed
5 with and without the patella resurfaced.

6 **Materials and Methods:** A total of 50 patients with osteoarthritis (OA) of the knee were
7 randomized to receive patellar resurfacing (n = 24; resurfaced group) or to retain their native
8 patella (n = 26; non-resurfaced group) based on envelope selection and provided informed
9 consent. Disease specific (Knee Society Score or KSS), Kujala Anterior Knee Pain Scale (AKPS),
10 Western Ontario and McMaster Universities Arthritis Index (WOMAC), Short Form 36 (SF-36)
11 and functional (patella-related activities) outcomes were measured within six months of follow-
12 up.

13 **Results:** There was no significant difference between resurfacing and non-resurfaced group pre
14 and post-operative regards to the in improvement of ROM (Δ), KSKS ($p=0.782$, $p=0.553$), KSKS-F
15 ($p=0.241$, $p=0.293$), AKPS ($p=0.128$, $p=0.443$), WOMAC ($p=0.700$, $p=0.282$), and pain scores
16 ($p=0.120$, $p=0.508$). There was no difference in the range of of motion between resurfacing and
17 non-resurfaced group pre (15.24 and 15.45) and post-operative (18.48 and 18.74). No side
18 effects related to patella was observed in any of the groups, and revision was not required in
19 any of the participants.

20 **Conclusion:** The results showed no significant difference between patellar resurfacing and non-
21 resurfacing in TKA for all outcome measures in a short term.

22 **Keywords:** osteoarthritis, total knee arthroplasty, patellar resurfacing, non-resurfacing.

23

24 **Introduction**

25 Total knee arthroplasty (TKA) is the gold standard treatment for knee Osteoarthritis (OA), which
26 involves removing the damaged articular surfaces and replacing them with a tibial and femoral
27 component (1,2). However, there is controversy as to whether the patella is resurfaced or not
28 during TKA (1). While TKA is commonly used in severe degenerative OA, surgeons are not
29 certain about indications of patellar resurfacing (2). Some authors suggest that patellar
30 resurfacing should be done simultaneously with TKA in order to relieve pain in the front of knee
31 and eliminate the need for a second surgery (1, 3). However, others have observed that these
32 two techniques are not different (3-5).

33 The advantages of patellar non-resurfacing include lower costs due to less dependence on
34 equipment (6), reduced surgery time (7), decreased patello-femoral complications (3), and
35 greater possibility to exert pressure on patello-femoral joint without the chance of prosthesis
36 abrasion (7). Patellar resurfacing during TKA is not performed by many surgeons worldwide due
37 to its associated complications including patellar fracture, osteonecrosis, patellar polyethylene
38 loosening, polyethylene abrasion, instability, patellar motion difficulties and problems in
39 extensor mechanisms (8-11). Moreover, in patients who undergo patellar resurfacing, anterior
40 knee pain is still a common complaint, and therefore it has been suggested that patellar
41 resurfacing should not be done routinely (12).

42 To date, no clinical trials (1, 13, 14) and meta-analysis studies (15-17) have not been able to end
43 the debate and found by finding out a definitive answer. In addition, to the best of our
44 knowledge results of patellar resurfacing or non-resurfacing in TKA has not been reported in

45 Iranian patients. Hence keeping in mind the existent controversy whether to resurface the
46 patella or not, it would be the need to do a study on this contentious topic. There is no
47 difference between patellar resurfacing versus non-resurfacing in the functional outcome 6
48 months after total knee arthroplasty for knee osteoarthritis. Therefore, this study was to
49 compare the short term results between patellar resurfacing and non-resurfacing in TKA in the
50 patients.

51

52 **Materials and Methods**

53 **Patients**

54 Between January 2012 and November 2013, a total of 50 patients with knee OA at two
55 university-affiliated teaching hospitals were recruited to the current study, which was approved
56 by the Tehran Medical Science University, Tehran, Iran ethics committees. In this study no
57 power analysis was done, because this sample size was within those of other studies done on
58 the same topics. Eligible subjects were scheduled for TKA to treat non-inflammatory arthritis
59 after unsuccessful non-surgical treatment and those were less than 70 years old. Patients with
60 inflammatory arthritis, a history of patellectomy, high tibial osteotomy, patellar fracture,
61 varus/valgus deformity of greater than 20 degrees, or flexion contracture more than 25 degrees
62 and extensive bone defect were excluded. Patients were randomized before surgery to receive
63 patellar resurfacing (n = 24; resurfaced group) or to retain their native patella (n = 26; non-
64 resurfaced group) based on envelope selection and provided informed consent. Evaluations
65 were performed pre- and postoperatively by an evaluator who was blinded to group allocation,
66 patients were not blinded to group allocation.

67

68 **Operative technique**

69 One of three experienced surgeons or their trainees under supervision performed surgery.
70 Patients after tibial and femoral cut were allocated to the PR and NPR groups. Standard
71 surgical technique including a midline incision and medial parapatellar exposure was utilized in
72 all patients. All surgeries were done under tourniquet pressure. The Profix™ Total Knee System,
73 a posterior cruciate sacrificing, fixed bearing prosthesis manufactured by Zimmer, Inc. was
74 utilized in all subjects with cemented components. In cases where both knees needed surgery,
75 each knee was randomized independently. PR was performed using all-polyethylene prosthesis,
76 and PNR was achieved with osteophyte removal, electro-cauterization in the 5mm edge of the
77 patella, and fibrillated cartilage smoothing. A standardized clinical pathway was followed
78 ensuring all subjects received similar preoperative, perioperative and postoperative care; early
79 mobilization was encouraged starting the first postoperative day.

80 **Evaluations**

81 The following clinical, functional and radiologic scores were assessed preoperative and 6
82 months after surgery: Knee range of motion, Knee Society Knee score (KSKS), Knee Society
83 Function score (KSKS-F), Western Ontario and McMaster Universities Arthritis Index (WOMAC),
84 36-Item Short Form Health Survey (SF-36), Kujala Anterior Knee Pain Scale (AKPS) and Visual
85 Analogue Scale (VAS) score for pain. Alignment was evaluated using knee society radiographic
86 evaluation system in which α and β are defined as angles of femoral and tibial components in
87 coronal section and γ and δ are defined as angles of femoral and tibial components in the
88 sagittal section. In addition, the patients' radiographic imaging was scored based on the six

89 commonly used systems the Kellgren-Lawrence in both PR and NPR groups. Patients'
90 comorbidities, post-surgical complications, need for a second surgery, and the surgery to
91 release lateral retinaculum were carefully documented. Other parameters such as age, gender,
92 height, weight, and patella thickness were assessed as independent factors.

93 **Statistical Analysis**

94 Statistical analysis was performed using the SPSS software, version 18.0 (Statistical Product and
95 Service Solutions, SPSS Inc. Chicago, IL, USA). The data are presented as the mean \pm standard
96 deviation. The test for normality was performed using the Kolmogorov- Smirnov test. The
97 Wilcoxon and Mann-Whitney U tests were used to compare the continuous variables. The
98 paired t-test was used to compare the quantitative variables before and after the surgery. A P
99 value of < 0.05 was considered statistically significant.

100 **Results**

101 A total of 50 subjects were enrolled with 24 randomly allocated to the Resurfaced and 26 to the
102 Non-resurfaced group. Overall, 42 cases were men and 8 were women, with an average age of
103 64.8 ± 7.8 . There were 11 cases of right side osteoarthritis in PR, and 13 in NPR groups; and in
104 the left knee the number was 13 in both groups.

105 Both PR and PNR groups resulted in improved ROM and other functional tests after surgery
106 (Table 1, Fig.1, $P \leq 0.001$). **Statistical analysis showed no significant difference between**
107 **resurfacing and non-resurfaced group pre and post-operative regards to the in improvement of**
108 **in improvement of ROM (), KSKS ($p=0.782$, $p=0.553$), KSKS-F ($p=0.241$, $p=0.293$), AKPS ($p=0.128$,**
109 **$p=0.443$), WOMAC ($p=0.700$, $p=0.282$), and pain scores ($p=0.120$, $p=0.508$) (Table 1). Moreover,**

110 mean degrees of angles evaluated by knee society radiographic evaluation system did not show
111 any significant difference between groups (Table 1, T-test, $P > 0.05$).

112 In this study there were no significant differences between resurfacing and non-resurfaced
113 regards to the baseline characteristics including age ($p = 0.92$), gender ($p = 1.00$), BMI ($p = 0.57$)
114 and patella thickness ($p = 0.46$) with the exception of SF-36 score, where the PNR group
115 reported lower preoperative.

116 Surgical complications were not observed during the 6-month follow-up. Neither PR nor PNR
117 group cases needed a revision surgery or lateral retinaculum release. Co-morbidities differences
118 were not statistically significant between groups.

119 Discussion

120 This study showed that TKA in both PR and PNR groups improved KSKS and KSKS-F, however,
121 there is no difference between these two methods in the level of improvement, which is in
122 agreement with the studies of Pavlou et al. (18), Burnett et al. (13) and others (3, 14, 19-21).
123 Nevertheless, in the studies of Schoroed et al. (22) and Waters et al. (11), KSKS was better in PR
124 than PNR group, while the KSKS-F was equal. Nizard et al. (23) and Parvizi et al. (24) reported
125 significantly higher scores in both KSKS and KSKS-F in the PR group compared to PNR. We
126 could not reject the null hypothesis to show any difference between PR and NPR'. Reasons for
127 inability to reject the null hypothesis are small sample size, and bias in sample selection

128 Our study showed that the minimum range of motion improved to about 5-8 degrees in both
129 groups, though not significantly different between them. In addition, after surgery, the
130 maximum range of motion improved to 15-18 degrees in both groups, again with no significant

131 difference in intergroup analysis. Meftah et al. (25) and Burnett et al (13) also reported similar
132 results.

133 In this study, we have found lower mean functional score in resurfaced group than non-
134 resurfaced, but this was not significant. In a meta-analysis of 7 studies, Arirachakaran et al.
135 showed lower but not significant functional score in patellar resurfacing (609 patients)
136 compared with non-resurfacing (660 patients) (26). However, in the meta-analysis of the 7
137 studies reporting on the KSS function score there was a high and significant heterogeneity
138 between studies, therefore no conclusion can be drawn easily from those data (26). In a
139 retrospective study on functional aspects on 53 patients, van Hemert et al. (2009) reported that
140 patients who underwent resurfacing in TKR had a functional advantage over those who were
141 not resurfaced (27). According to the finding, it seems non-resurfaced groups showed a
142 borderline improvement in Knee Society Function Score compared to the patellar resurfacing
143 groups.

144 The Kujala score is an appropriate evaluation method in the patellofemoral position. In the
145 present study this score was improved in both groups equally postoperatively. One of the
146 limitations of this scoring is that in higher scores the patient may feel no pain, as for example
147 74.6, in the study by Vahurmets (28); and thus its inference should be done deliberately.

148 The WOMAC score is inversely related to the patient's conditions. Knee arthroplasty in both
149 groups reduced WOMAC, with no difference between PR and PNR. This finding is comparable to
150 studies of Campell et al. (14) and Lauren et al. (29). Additionally, we have found an increased
151 SF-36 score and a reduced pain score after surgery in both groups similar to Lauren et al. (29),
152 both changes was not different between the two groups.

153 Although not statistically significant, patients of PR group reported more pain when going up
154 the stairs. It has been previously explained that cauterization around the patella results in
155 reduction of anterior knee pain and better patient satisfaction after surgery (21). We also
156 applied cauterization around the patella in patellar non-resurfacing cases, which might have
157 contributed to relieve pain. In studies of Campell et al. (14) and Parry et al. (20), the outcomes
158 of pain reduction was not different between the groups, similar to our study. In most studies,
159 anterior knee pain has been assessed after knee arthroplasty; but in this study it was evaluated
160 as a whole. The results of anterior knee pain score are confusing in the literature. Some studies
161 did not report any difference between the PR and PNR groups (13, 14, 18, 30), while others
162 show lower pain in either PR (1, 11, 22, 31) or PNR approach (32).

163 In radiographic evaluation, the measured angles of α , β , γ and δ in PR and PNR groups are in
164 accordance with other studies including reports by Bae et al (33) and Bach et al (34), where the
165 angles were not statistically different between groups.

166 In this study, patella-related complications was not observed, neither in PR, nor in PNR group
167 which is in accordance with the study conducted by Meftah et al. (25). In none of the groups of
168 our study, revision was performed; in contrast, Lauren et al. (29) and Campell et al. (14)
169 performed two revisions in PNR and one in PR groups in the 10-year follow-up.

170 It appears that a number of patients with PNR approach suffered from anterior knee pain; and
171 the revision is implemented not because of the patellofemoral complications, but to control
172 anterior knee pain. The meta-analysis by Stephan et al (13) showed that occurrence of revision
173 surgery is equal in PR and PNR groups but some other reports suggest less need for revision

174 surgery in PR groups (18). In our study, an accurate judgment could not be made due to short
175 follow-up period.

176 Our study had some limitations. First of all, the number of patients was low and this brings the
177 concern of type B error, which indicates that the non-significant difference between two groups
178 may be the consequence of low number of patients. Second, our follow up period is relatively
179 short; considering the fact that complications sometimes occur years after the surgery. Hence,
180 we are going to continue to follow the patients for another four years.

181 However, we do not think that these limitations undermine the main finding of this study. This
182 prospective randomized study showed that TKA is effective procedure with considerable
183 improvement in patients' pain and function. However, patellar resurfacing or non-resurfacing
184 has no significant effect on pain and function.

185 **References**

- 186 1. Wood DJ, Smith AJ, Collopy D, White B, Brankov B, Bulsara MK. Patellar resurfacing in total
187 knee arthroplasty: a prospective, randomized trial. *The Journal of bone and joint surgery*
188 *American volume*. 2002 Feb;84-A(2):187-93.
- 189 2. Forster MC. Patellar resurfacing in total knee arthroplasty for osteoarthritis: a systematic
190 review. *The Knee*. 2004 Dec;11(6):427-30.
- 191 3. Barrack RL, Wolfe MW. Patellar resurfacing in total knee arthroplasty. *The Journal of the*
192 *American Academy of Orthopaedic Surgeons*. 2000 Mar-Apr;8(2):75-82.
- 193 4. Fu Y, Wang G, Fu Q. Patellar resurfacing in total knee arthroplasty for osteoarthritis: a meta-
194 analysis. *Knee Surgery, Sports Traumatology, Arthroscopy*. [journal article].
195 2011;19(9):1460-6.

- 196 5. He JY, Jiang LS, Dai LY. Is patellar resurfacing superior than nonresurfacing in total knee
197 arthroplasty? A meta-analysis of randomized trials. *The Knee*. 2011 Jun;18(3):137-44.
- 198 6. Epinette JA, Manley MT. Outcomes of patellar resurfacing versus nonresurfacing in total
199 knee arthroplasty: a 9-year experience based on a case series of scorio PS knees. *The*
200 *journal of knee surgery*. 2008 Oct;21(4):293-8.
- 201 7. Ong K, Lau E, Manley M, Kurtz SM. Patient, hospital, and procedure characteristics
202 influencing total hip and knee arthroplasty procedure duration. *The Journal of arthroplasty*.
203 2009 Sep;24(6):925-31.
- 204 8. Huang CH, Liao JJ, Ho FY, Lin CY, Young TH, Cheng CK. Polyethylene failure of the patellar
205 component in New Jersey low-contact stress total knee arthroplasties. *The Journal of*
206 *arthroplasty*. 2005 Feb;20(2):202-8.
- 207 9. Ortiguera CJ, Berry DJ. Patellar fracture after total knee arthroplasty. *The Journal of bone*
208 *and joint surgery American volume*. 2002 Apr;84-A(4):532-40.
- 209 10. Newman JH, Ackroyd CE, Shah NA, T K. Should the patella be resurfaced during total knee
210 replacement? *The Knee*. 2000;7(1):17-23.
- 211 11. Waters TS, Bentley G. Patellar resurfacing in total knee arthroplasty. A prospective,
212 randomized study. *The Journal of bone and joint surgery American volume*. 2003 Feb;85-
213 A(2):212-7.
- 214 12. Kim BS, Reitman RD, Schai PA, Scott RD. Selective patellar nonresurfacing in total knee
215 arthroplasty. 10 year results. *Clinical orthopaedics and related research*. 1999 Oct(367):81-
216 8.

- 217 13. Burnett RS, Boone JL, Rosenzweig SD, Steger-May K, Barrack RL. Patellar resurfacing
218 compared with nonresurfacing in total knee arthroplasty. A concise follow-up of a
219 randomized trial. The Journal of bone and joint surgery American volume. 2009
220 Nov;91(11):2562-7.
- 221 14. Campbell DG, Duncan WW, Ashworth M, Mintz A, Stirling J, Wakefield L, et al. Patellar
222 resurfacing in total knee replacement: a ten-year randomised prospective trial. The Journal
223 of bone and joint surgery British volume. 2006 Jun;88(6):734-9.
- 224 15. Bourne RB, Burnett RS. The consequences of not resurfacing the patella. Clinical
225 orthopaedics and related research. 2004 Nov(428):166-9.
- 226 16. Calvisi V, Camillieri G, Lupparelli S. Resurfacing versus nonresurfacing the patella in total
227 knee arthroplasty: a critical appraisal of the available evidence. Archives of orthopaedic and
228 trauma surgery. 2009 Sep;129(9):1261-70.
- 229 17. Li S, Chen Y, Su W, Zhao J, He S, Luo X. Systematic review of patellar resurfacing in total
230 knee arthroplasty. International orthopaedics. 2011 Mar;35(3):305-16.
- 231 18. Pavlou G, Meyer C, Leonidou A, As-Sultany M, West R, Tsiridis E. Patellar resurfacing in total
232 knee arthroplasty: does design matter? A meta-analysis of 7075 cases. The Journal of bone
233 and joint surgery American volume. 2011 Jul 20;93(14):1301-9.
- 234 19. Feller JA, Bartlett RJ, Lang DM. Patellar resurfacing versus retention in total knee
235 arthroplasty. The Journal of bone and joint surgery British volume. 1996 Mar;78(2):226-8.
- 236 20. Parry MC, Smith AJ, Blom AW. Early death following primary total knee arthroplasty. The
237 Journal of bone and joint surgery American volume. 2011 May 18;93(10):948-53.

- 238 21. Van Jonbergen H, Scholtes V, van Kampen A, R P. A randomised, controlled trial of
239 circumpatellar electrocautery in total knee replacement without patellar resurfacing.
240 Journal of Bone & Joint Surgery. 2011;98(3):1054-9.
- 241 22. Schroeder-Boersch H, Scheller G, Fischer J, Jani L. Advantages of patellar resurfacing in total
242 knee arthroplasty. Two-year results of a prospective randomized study. Archives of
243 orthopaedic and trauma surgery. 1998;117(1-2):73-8.
- 244 23. Nizard RS, Biau D, Porcher R, Ravaud P, Bizot P, Hannouche D, et al. A meta-analysis of
245 patellar replacement in total knee arthroplasty. Clinical orthopaedics and related research.
246 2005 Mar(432):196-203.
- 247 24. Parvizi J, Rapuri VR, Saleh KJ, Kuskowski MA, Sharkey PF, Mont MA. Failure to resurface the
248 patella during total knee arthroplasty may result in more knee pain and secondary surgery.
249 Clinical orthopaedics and related research. 2005 Sep;438:191-6.
- 250 25. Meftah M, Ranawat AS, Ranawat CS. Ten-year follow-up of a rotating-platform, posterior-
251 stabilized total knee arthroplasty. The Journal of bone and joint surgery American volume.
252 2012 Mar 7;94(5):426-32.
- 253 26. Arirachakaran A, Sangkaew C, Kongtharvonskul J. Patellofemoral resurfacing and patellar
254 denervation in primary total knee arthroplasty. Knee Surg Sports Traumatol Arthrosc. 2015
255 Jun;23(6):1770-81.
- 256 27. Metsna V, Vorobjov S, Martson A. Prevalence of anterior knee pain among patients
257 following total knee arthroplasty with nonreplaced patella: a retrospective study of 1778
258 knees. Medicina. 2014;50(2):82-6.

- 259 28. Hemert W L, Senden R, Grimm B, Kester A D, van der Linde M J, Heyligers I C. Patella
260 retention versus replacement in total knee arthroplasty; functional and clinimetric
261 aspects. Arch Orthop Trauma Surg 2009; 129 (2): 259-65
- 262 29. Beaupre L, Secretan C, Johnston DW, Lavoie G. A randomized controlled trial comparing
263 patellar retention versus patellar resurfacing in primary total knee arthroplasty: 5-10 year
264 follow-up. BMC research notes. 2012;5:273.
- 265 30. Pilling RW, Moulder E, Allgar V, Messner J, Sun Z, Mohsen A. Patellar resurfacing in primary
266 total knee replacement: a meta-analysis. The Journal of bone and joint surgery American
267 volume. 2012 Dec 19;94(24):2270-8.
- 268 31. Meneghini RM. Should the patella be resurfaced in primary total knee arthroplasty? An
269 evidence-based analysis. The Journal of arthroplasty. 2008 Oct;23(7 Suppl):11-4.
- 270 32. Bourne RB, Rorabeck CH, Vaz M, Kramer J, Hardie R, Robertson D. Resurfacing versus not
271 resurfacing the patella during total knee replacement. Clinical orthopaedics and related
272 research. 1995 Dec(321):156-61.
- 273 33. Bae DK, Cho SD, Im SK, Song SJ. Comparison of Midterm Clinical and Radiographic Results
274 Between Total Knee Arthroplasties Using Medial Pivot and Posterior-Stabilized Prosthesis-A
275 Matched Pair Analysis. The Journal of arthroplasty. 2016 Feb;31(2):419-24.
- 276 34. Bach CM, Mayr E, Liebensteiner M, Gstottner M, Nogler M, Thaler M. Correlation between
277 radiographic assessment and quality of life after total knee arthroplasty. The Knee. 2009
278 Jun;16(3):207-10.
- 279
- 280

281

282

283

284 **Figure legend**

285 **Fig. 1. Mean ROM-Low (A) and ROM-high (B) *before* and *after* surgery. The results suggest that**
286 **both resurfacing and non-resurfacing improve ROM-Low and ROM-high, but the difference**
287 **between them is not significant. *** P<0.001.**

288

289