

# 1 Does adding Lidocaine to intrathecal 2 Bupivacaine affect hemodynamic parameters 3 during hip fracture surgery?

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4 Running title:

## 5 Adding Lidocaine to intrathecal Bupivacaine 6 for hip fracture surgery

### 7 **Abstract:**

#### 8 **Background**

9 Hip fracture is one of the most common problems in elderly that needs surgical repair. As, the  
10 majority of these patients have chronic diseases, they are at increased risk of peri-operative  
11 mortality and morbidity. The purpose of this study was to evaluate spinal anesthesia with  
12 bupivacaine vs bupivacaine in combination with lidocaine in terms of hemodynamic changes in  
13 patients undergoing hip fracture surgery.

14 **Materials and methods:** This double-blind clinical trial was conducted on 292 patients  
15 undergoing surgery for hip fracture under spinal anesthesia. Patients were allocated into two  
16 groups of B (10 mg of hyperbaric 0.5% Bupivacaine) and BL (5 mg hyperbaric Bupivacaine  
17 0.5% plus 50 mg Lidocaine 5%). Sensory and motor block and hemodynamic changes were  
18 consecutively measured before spinal anesthesia (T0), immediately after spinal injection (T1),  
19 every 5 minutes for half an hour (T2- T7), and at 45 minutes (T8) and 60 minutes (T9) after  
20 injection.

21 **Results:**

22 Patients in the two groups were homogeneous in demographic characteristics including age, sex,  
23 BMI, ASA Class, baseline blood pressure and heart rate. The onsets of sensory and motor blocks  
24 in group BL were faster than group B ( $P=0.0001$ ). Also, the durations of sensory and motor  
25 blocks in group B were significantly longer than group BL ( $P=0.0001$ ). The BL group had a  
26 significantly lower systolic blood pressure in all periods ( $P<0.05$ ). Although the heart rate in the  
27 BL group was lower than group B at all time points, this difference was only significant during  
28 T2-T3 ( $P=0.033$  and  $P=0.0001$ , respectively). Group BL had significantly more episodes of  
29 hypotension, bradycardia, nausea and vomiting ( $P=0.0001$ ,  $P=0.023$ ,  $P=0.003$ , and  $P=0.033$ ,  
30 respectively).

31 **Conclusion:**

32 According to our findings, using Lidocaine 50 mg in combination with Bupivacaine 5 mg,  
33 compared with Bupivacaine 10 mg alone for spinal anesthesia in hip fracture fixation surgeries  
34 was associated with more hypotension and bradycardia. As a result, combination of Bupivacaine  
35 with Lidocaine at this dose is not recommended for induction of anesthesia in these patients.

36 **Keywords:** Bupivacaine ; Lidocaine; hemodynamics; pelvic surgery; hypotension; bradycardia

## 38 **Introduction**

39 Hip fracture is a relatively common, age dependent disease with a growing prevalence that needs  
40 surgical repair (1, 2). It is estimated that over 6 million people will suffer from one type of hip  
41 fracture in 2050 (3). Surgery as the key management in patients with hip fractures necessitates  
42 anesthesia. However, considering the high prevalence of cardiovascular and lung diseases in  
43 elderly, patients are commonly at increased risk of perioperative mortality and morbidity and  
44 complications (4-6). Postoperative outcomes can be affected by several factors such as  
45 comorbidities, surgery and anesthesia type. Both methods of anesthesia including general and  
46 regional are used for hip fractures. Comparing the general and regional methods have shown that  
47 general anesthesia may control the duration, depth of anesthesia, and hemodynamic status better  
48 than the regional rout (7, 8); however, abnormal reactions to anesthetic drugs, increased  
49 pulmonary complications, severe hypotension, nausea and vomiting can be mentioned as the  
50 complications of general anesthesia (9).

51 Although hypotension, headache, and neurological disorders are intraoperative complications of  
52 spinal anesthesia, it can improve the outcomes by preventing the intubation and pneumonia,  
53 reducing bleeding, deep vein thrombosis, pulmonary embolism, and improving postoperative  
54 analgesia (10-12). Therefore, spinal anesthesia is an effective method for perioperative analgesia  
55 which provides fewer drugs consumption and morbidity reduction in many cases and is a safe  
56 alternative for general anesthesia in many surgeries (1, 2). Spinal anesthesia is the accepted  
57 method for surgical repair of hip fracture, but it is associated with the risk of hemodynamic  
58 involvement (4, 13). Hypotension and bradycardia are the common complications of spinal  
59 anesthesia due to sympathetic block that is harmful especially in patients with coronary artery  
60 disease.

61 Bupivacaine is a long-acting local anesthetic that can induce anesthesia for 2.5 to 3 hours by  
62 using a single dose (13-14). To minimize the hemodynamic effects caused by this drug, different  
63 methods have been used including administering pre-loading with saline; unilateral spinal; on  
64 time administration of the vasopressors; low-dose local anesthetic; and addition of opioid or  
65 magnesium sulfate into the local anesthetic (1, 13,15-19).

66 Lidocaine is a moderate acting local anesthetic with a rapid onset of effect which can be used in  
67 ambulatory surgery (14). Recent studies have shown that adding intrathecal Lidocaine to  
68 Bupivacaine could decrease the duration of Bupivacaine effect by increasing its' clearance and  
69 led to faster recovery ( 20-22). In addition, this combination led to faster spinal recovery  
70 compared to the single dose of Bupivacaine. Addition of Lidocaine caused vasodilation in the  
71 spinal cord that increased the clearance of intrathecal Bupivacaine.

72 Although it is estimated that the techniques of combining drugs increased the potential of using  
73 spinal anesthesia in patients, studies have shown controversial results (23). Previous  
74 investigations have mentioned that by reducing the dose of Bupivacaine and adding compounds  
75 such as opioids, not only sufficient sub-arachnoid anesthesia was acquired, but also the harmful  
76 effects of Bupivacaine on hemodynamic status was declined. It reduced the incidence of  
77 hypotension compared with the full dose of Bupivacaine during spinal anesthesia (1, 24).

78 In this study, the effects of reduced dose of Bupivacaine and addition of a combination of  
79 Lidocaine and Epinephrine on hemodynamic complications such as hypotension and bradycardia  
80 were investigated.

## 81 Materials and methods

82 This double-blind clinical trial was conducted at the Anesthesia Research Center, Poursina  
83 Hospital, Guilan University of Medical Sciences on 292 patients undergoing surgery for hip  
84 fracture under spinal anesthesia. The approval was obtained from the ethics committee of Guilan  
85 University of Medical Sciences (1920452612, 2014/12/10) and the study was registered on IRCT  
86 (IRCT2014102713456N2).

87 The inclusion criteria were: patients aged 60-70 years with ASA class I - II, without any history  
88 of addiction or contraindication for spinal anesthesia (high intracranial pressure, coagulopathy,  
89 skin infection at the injection site, allergy to local anesthetic). Inadequate sensory and motor  
90 blocks, the need for general anesthesia during surgery, intraoperative bleeding and hemodynamic  
91 instability were defined as the exclusion criteria.

92 The sample size was determined based on a previous study by EI-Adawy (20) according the  
93 following formula: ( $\alpha=0.05$ ,  $\beta=0.20$ ,  $z_{1-\alpha/2}=1.96$ ,  $z_{1-\beta}=1.28$ ,

94  $S_1=2.5$ ,

95  $S_2=3.2$ ,  $\mu_1-\mu_2=1.14$ )

$$96 \quad n = \frac{\left( (z_{1-\frac{\alpha}{2}}) + (z_{1-\beta}) \right)^2 (S_1^2 + S_2^2)}{(\mu_1 - \mu_2)^2}$$

97 With the probable drop rate of 10%, the sample size of 146 patients for each group was  
98 indicated.

99 A total of 292 eligible patients were allocated in two Group of B (10 mg of hyperbaric  
100 Bupivacaine 0.5% + Epinephrine) and BL (5 mg hyperbaric bupivacaine 0.5% plus 50 mg

101 Lidocaine 5% + Epinephrine) using randomized fixed quadripartite blocks. Participants had an  
102 equal probability of being assigned to each of the two groups.

103 Type of surgery, anesthetic technique, and the techniques to evaluate patients during and after  
104 surgery were explained and informed consents were obtained on the day before surgery.

105 To blind the study in Group B, 2 ml hyperbaric Bupivacaine 0.5% equivalent to 10 mg of  
106 Bupivacaine+Epinephrine 1/200000 was administered. Also, in group BL, 1 ml hyperbaric  
107 Bupivacaine 0.5% with 1 ml Lidocaine 5% equivalent to 50 mg Lidocaine+ Epinephrine  
108 1/200000 was used. The volume for injection in both groups was 2 ml.

109 The medications were used by an anesthesiologist who performed neuroaxial blocking and  
110 appropriate action if the complications occurred.

111 Neither the patients nor the evaluator were aware of the type of injected anesthetic. In the  
112 operating room, all patients were monitored by 3-Lead electrocardiogram, pulse oximetry and  
113 noninvasive blood pressure measurement (SAADAT Digital Monitoring). After inserting an 18  
114 gauge intravenous cannula, 5-7 ml / kg normal saline solution was injected during 15-30 mins,  
115 then spinal anesthesia was performed in sitting position by a skilled anesthesiologist using a 25-  
116 guage Quinke needle ( B.Brown Company) through L3-L4 or L4-L5 intervertebral space at the  
117 speed of 0.2 cc/ seconds.

118 After spinal anesthesia, the patient was immediately placed in a supine position and  
119 supplemental oxygen was administered via a face mask at a rate of 5-8 L/min. The sensory  
120 block, and maximum sensory block level were assessed with the patient's ability to distinct the  
121 sharpness created by the tip of the needle (pin prick method) (25). The motor block level was  
122 assessed by examining the skeletal muscle strength criteria by modified Bromage scale (0=no

123 paralysis, 1= only able to move the knee and feet, 2=only able to move feet, 3=inability to move  
124 the leg or knee).

125 Evaluations of patients were performed with one-minute interval to achieve maximum blocks  
126 and every 15 minutes until the return of sensory and motor blocks. The onset times of sensory  
127 and motor blocks were defined as the time from administering intrathecal anesthesia to peak  
128 sensory and motor blocks, respectively.

129 The duration between the end of intrathecal injection to decreased pinprick sense below S1 and  
130 the duration between the ends of intrathecal injection to free feet movement were indicated as the  
131 durations of sensory and motor blocks, respectively.

132 Blood pressure and heart rate were consecutively measured as a base before spinal anesthesia  
133 (T0), immediately after spinal injection (T1), every 5 minutes to half an hour (T2- T7) and every  
134 15 minutes until the end of surgery.

135 In this study, systolic blood pressure less than 90 mmHg was defined as hypotension. With  
136 systolic blood pressure less than 90 mm Hg, 10 mg intravenous ephedrine (up to maximum dose  
137 of 30 mg) and in case of bradycardia with heart rate less than 60 beats/minutes, 0.5 mg  
138 intravenous atropine was administered. In case of nausea and vomiting, 0.1 mg / kg intravenous  
139 metoclopramide was injected.

#### 140 **Statistical analysis:**

141 Data analysis was done using SPSS software version 17. Data were reported by descriptive  
142 statistics (number, percent, mean, and standard deviation) and analyzed with chi-square test and  
143 T-test. For intragroup comparison of variables after surgery, ANCOVA was used. A  $P < 0.05$  was  
144 considered as statistically significance and 95% confidence interval was noted.

## 145 Results

146 A total of 292 patients were enrolled in the study. Eleven patients in group BL and 13 patients in  
147 group B were excluded and 135 and 133 patients, respectively, were assessed. The results  
148 showed that 7 and 9 patients in group BL and B were excluded due to inappropriate block.  
149 Prolonged surgery and need for general anesthesia resulted in exclusion of 3 and 2 patients in  
150 group BL and B, respectively. Also, excessive bleeding and hemodynamic instability resulted in  
151 exclusion of 1 and 2 patients in group BL and B, respectively.

152 Patients were homogeneous in demographic characteristics including age, sex, BMI, baseline  
153 blood pressure and heart rate in the two groups ( $P=0.272$ ,  $P=0.53$ ,  $P=0.4$ ,  $P=0.08$  and  $P=0.439$ ,  
154 respectively) (Table 1). The onset time of sensory and motor block in group BL was  
155 significantly faster than Group B ( $P=0.0001$  and  $P=0.0001$ , respectively). Also, the duration of  
156 sensory and motor block in group B was significantly longer than group BL ( $P=0.0001$ ). (Table  
157 2)

158 Comparing the mean systolic and diastolic blood pressure as well as the mean arterial blood  
159 pressure by using t-test in all periods except T0, showed that BL group had lower blood pressure  
160 than those in group B ( $P<0.05$ ) (Table3). Although the heart rate in the group BL was lower than  
161 Group B at all time points, this difference was only significant during T2-T3 ( $P=0.033$  and  
162  $P=0.0001$ , respectively) (Table 4).

163 The BL Group had more episodes of hypotension, bradycardia, nausea and vomiting ( $P=0.0001$ ,  
164  $P=0.023$ ,  $P=0.003$ , and  $P=0.033$ , respectively). Also, the use of ephedrine in the group BL was  
165 significantly higher than group B ( $P=0.0001$ ) (Table 5).



## 166 **Discussion**

167 Hip fractures are one of the most common problems in elderly especially in females. The  
168 incidence increases with age. As, the majority of these patients have chronic diseases, they are at  
169 increased risk of peri-operative mortality and morbidity. Its' annual mortality rate is 20-25 % and  
170 is 4 times more in comparison with the general population(8).

171 Surgical reduction and fixation is the selected treatment in these patients. To now, the selected  
172 anesthesia technique in these patients has been remained unknown. Spinal anesthesia is an  
173 effective method in diverse surgical procedures. This technique can effectively reduce  
174 intraoperative bleeding, thromboembolic events, and postoperative nausea and vomiting.  
175 However, Hemodynamic complications due to spinal block, such as severe and prolonged  
176 hypotension in short to medium length procedures, are the main concerns that have been  
177 extensively studied (4, 12).

178 Our study showed that for spinal anesthesia, adding 50 mg Lidocaine to 5 mg Bupivacaine was  
179 associated with lower blood pressure and heart rate comparing to 10 mg of Bupivacaine. Group  
180 BL had more frequent hypotension and bradycardia compared to group B and significant higher  
181 ephedrine consumption in this group was noted.

182

183 In a previous study no significant difference in peri and post-operative hemodynamic status was  
184 reported between the Lidocaine-Bupivacaine and Bupivacaine groups (20). In contrast with our  
185 results, Yazicioglu et al. have mentioned that adding Lidocaine to Levobupivacaine did not cause  
186 significant hemodynamic changes (21). They administered 6 mg and 12 mg Lidocaine in  
187 combination with Bupivacaine. The difference in doses of administered medications could

188 explain the different results. Therefore, hemodynamic stability in previous studies might be  
189 occurred as a result of compensatory homeostatic vasoconstrictive mechanisms of low dose  
190 Lidocaine.

191 Punj et al compared different doses of Bupivacaine and Lidocaine in patients undergoing hip  
192 surgery and mentioned consistent results. Patients receiving Lidocaine 5% had more episodes of  
193 hypotension and bradycardia, and needed more ephedrine and atropine compared to Bupivacaine  
194 0.5% (26). Lee et al compared patients receiving 12 mg of Lidocaine with Bupivacaine to the  
195 group receiving 6 mg of Lidocaine or saline in combination with Bupivacaine. They showed that  
196 the first group had lower mean arterial pressures and heart rates(22). Their similar results noted  
197 higher incidence of hypotension and bradycardia by administering higher doses of Lidocaine.

198 Olofsson et al compared the effect of administering low dose of Bupivacaine-sufentanil with  
199 Bupivacaine in spinal anesthesia on hemodynamic parameters in patients undergoing hip  
200 fracture. They showed that the use of intrathecal opioid in combination with Bupivacaine caused  
201 better hemodynamic stability and lower hypotension creases (1).

202 Regarding the sensory and motor blocks, adding 50 mg Lidocaine to 5 mg Bupivacaine caused  
203 higher sensory peak and more rapid onset of sensory and motor blocks. Most of the patients in  
204 the group BL and group B had the sensory level at T7 and T8, respectively. In the study of EI-  
205 Adawy et al. adding 12 mg Lidocaine to Bupivacaine caused a higher sensory level (T6) and  
206 faster block onset compared to administering Bupivacaine alone or 6 mg Lidocaine plus  
207 Bupivacaine(20). The study by Jacobsen et al also mentioned consistent results. They noted that  
208 adding 6 mg of Lidocaine to 10 mg Bupivacaine caused higher block peak compared to the  
209 control group, but this difference was not statistically significant (23). However, Chohedri et al

210 indicated that adding 0.6 ml Lidocaine 1% to 7.5 mg Bupivacaine didn't cause significant  
211 difference between the two groups (27). Their results were inconsistent with our study and the  
212 study by Jacobsen et al. The difference might have been caused by administering lower doses of  
213 Lidocaine in our study and Bupivacaine by Jacobsen et al. (23).

214 Since the baricity of the injected solution is one of the most important factors that could affect  
215 the level of sensory block in spinal analgesia, adding Lidocaine to Bupivacaine 0.5% caused  
216 indistinguishable baricity of injected solution into the spinal space and this could explain the  
217 higher sensory level in BL group patients (26).

218 Regarding the duration of the sensory and motor blocks, our study showed that the use of  
219 Lidocaine in combination with Bupivacaine shortened the duration of the block, resulting in a  
220 faster recovery. The cause of faster recovery following the addition of Lidocaine is unknown, but  
221 Lidocaine can cause vasodilation of spinal blood vessels and increase the Bupivacaine clearance  
222 from the spinal space.

223 Similar to our study, in the study by EI-Adawy et al, adding 6 mg Lidocaine to Bupivacaine  
224 shortened the duration of the sensory and motor blocks; but, adding 12 mg Lidocaine prolonged  
225 the sensory and motor blocks and delayed the recovery (20). The shorter length of the block in  
226 our study might have been due to the lower dose of used Bupivacaine despite using the higher  
227 dose of Lidocaine. In our study, 5 mg Bupivacaine was used compared to 7.5-10 mg in previous  
228 studies. Also, Jacobsen et al. and Chohedri et al. have mentioned that adding 6 mg of Lidocaine  
229 to Bupivacaine did not lead to significant shortening of the blocking time(23, 27). This can be  
230 caused by their high doses of Bupivacaine. However, Yazicioglu et al, added Lidocaine and  
231 mentioned shortened block and faster recovery which was similar with this study(21).

232 In terms of complications, patients in BL group had higher hypotension, bradycardia, nausea and  
233 vomiting compared to group B. Neuroaxial anesthesia causes the blockage of the peripheral (T1-  
234 L2) and cardiac (T1-T4) sympathetic fibers. Sympathectomy causes venous vasodilation  
235 (decreased venous return) and arterial vasodilation (decreased systemic vascular resistance) and  
236 reduced stroke volume. During the spinal anesthesia, hypotension occurs consequent to the  
237 decreased systemic vascular resistance or cardiac output. The amount of local anesthetic  
238 cephalad expansion in subarachnoid space determines the degree of sympathetic blockage and  
239 consequently, the amount of hypotension (4,12). When clinicians use the combination of  
240 lidocaine and bupivacaine, the change in the baricity of the injected solution can increase the  
241 level of sensory and sympathetic blockages and consequently hypotension (26). This can be  
242 indicated as the cause of decreased blood pressure in BL group compared with B group. In the  
243 study by Yazicioglu et al, adding Lidocaine to Levobupivacaine did not cause more nausea and  
244 vomiting than Levobupivacaine alone (21). They assessed the effect of lower dose of Lidocaine  
245 (6mg).

246 Several mechanisms cause nausea and vomiting by neuroaxial anesthesia that included direct  
247 exposure to chemoreceptive trigger zone in the brain with emetogenic drugs, hypotension due to  
248 generalized vasodilation and gastrointestinal hyperpristaltism secondary to unopposed  
249 parasympathetic activity (14). So, one cause of more nausea and vomiting in group BL than  
250 group B might be the greater incidence of hypotension in this group.

251 TNS was reported for the first time in 1993 after intrathecal injection of Lidocaine 5%. This  
252 phenomenon relates with sensory dysfunction as well as pain in the back and lower extremities  
253 that starts within 1 to 24 hours after operation. It lasts from a few hours to a few days (24,28). A  
254 study by Umbrain et al showed that injecting intrathecal Lidocaine was associated with changes

255 in PGE2 in cerebrospinal fluid. They showed that PGE2 increased with increasing TNS and the  
256 spinal prostaglandin change was dose dependent (29). Zaric et al noted that these changes were  
257 not neurological dose-dependent (30). So, whether or not the incidence of TNS was a dose-  
258 dependent issue has yet remained unclear. In this study, TNS and PDPH were not checked after  
259 the operation and it might be a limitation of our study. It is recommended to evaluate the  
260 incidence of this complication and its relationship with the consumed Lidocaine dose in future  
261 studies. Furthermore, only one dose of Lidocaine (50 mg) was used in our study in combination  
262 with Bupivacaine. It is recommended that future studies use other doses of local anesthetics for  
263 hip fracture surgery to determine the most appropriate dose with the minimal complications.  
264 Although in most of the previous studies, the majority of patients with hip fractures were elderly  
265 women, since this study was conducted in patients with trauma, male sex was more frequent.  
266 Therefore, future studies on elderly patients with osteoporotic hip fracture can be recommended.  
267 According to the researches, few studies have assessed the effect of Lidocaine-Bupivacaine on  
268 hemodynamic responses in patients undergoing hip fracture and the majority of studies have  
269 examined the effects of drug combinations on the properties of the blocks. Also, the sample size  
270 of our study was higher than previous studies and it can be declared as the strength of this study.

271

## 272 **Conclusion**

273 According to our findings using 50 mg of Lidocaine in combination with 5 mg of Bupivacaine,  
274 compared with 10 mg Bupivacaine alone for spinal anesthesia for hip fracture was much more  
275 associated with hypotension and bradycardia. As these patients were usually old and may have  
276 had multiple cardiovascular and pulmonary disorders, more unstable hemodynamic status was  
277 noted by Lidocaine plus Bupivacaine compared to Bupivacaine alone. As a result, using  
278 Bupivacaine with Lidocaine at this dose in these patients is not recommended for induction of  
279 anesthesia.

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