

1 **ABSTRACT**

2 Purpose: The purpose of this study was to evaluate the value of perioperative tests for the
3 diagnosis of infection in revision shoulder arthroplasty.

4 Methods: A retrospective analysis was performed on 537 shoulder arthroplasties(429 patients)
5 that underwent revision shoulder arthroplasty at our institution. Periprosthetic tissue cultures
6 were positive in 169/537 surgeries.

7 Results: White-blood cell count (WBC) was elevated in 3.8% revision arthroplasties. Erythrocyte
8 sedimentation rate (ESR) was elevated in 23.1% revision arthroplasties. The C-reactive protein
9 (CRP) was elevated in 20.8% revision arthroplasties. Bone scans (technetium,indium) were
10 performed on 9.9% patients and it was positive for osteomyelitis in just one revision arthroplasty.
11 Intra-operative pathology was read as consistent with acute inflammation in 11.9% revision
12 arthroplasties. The positive and negative predictive values for intra-operative pathology were
13 56.7% and 71.6% respectively.

14 Conclusions: All of the perioperative tests had a high specificity and negative predictive value,
15 but low sensitivity and positive predictive value.

16 **Key words:** perioperative tests;infection in revisions shoulder arthroplasty;shoulder;shoulder
17 arthroplasty

18

19 **INTRODUCTION**

20 Infection after total shoulder arthroplasty (TSA) is a devastating complication. The
21 reported prevalence of deep periprosthetic infection involving shoulder arthroplasty ranges from
22 0 to 15.4% and infection remains a common reason for failure, especially in the revision
23 setting(1-3). In one study Kelly et al reported 29% unexpected positive culture after revision
24 shoulder arthroplasty [4].

25 Although the number of the papers on the rates of subclinical infection in shoulder
26 arthroplasty especially by Propionibacterium Acnes are increasing (1, 4, 5), there is not much
27 information on the value of perioperative laboratory tests to diagnose the infected shoulder
28 arthroplasty (3). The preoperative diagnosis of infection in failed shoulder arthroplasty still
29 remains a challenge and the clinical scenario of discovering an unexpected positive culture after
30 revision arthroplasty in a joint with no other symptoms or signs of infection represents a
31 management dilemma. Complex reconstruction with revision implants and allograft
32 augmentation are sometimes required in revision shoulder arthroplasty. Traditionally, positive
33 cultures in samples obtained at the time of surgery are considered the “gold standard” for the
34 diagnosis of a periprosthetic infection, but the surgeon has already committed to these complex
35 surgeries by the time they receive the result of the intra operative cultures. The purpose of this
36 study was to determine the value of prioperative laboratory studies in predicting infected
37 shoulder arthroplasty.

38

39 **METHODS**

40 After review and approval of this study by our Institutional Review Board, our joint
41 registry database was utilized to identify all patients who underwent revision shoulder
42 arthroplasty at our institution between January 1, 1994 and December 31, 2008. During this
43 period 465 patients underwent 592 revision shoulder arthroplasty at the Mayo Clinic. We
44 performed a review of the medical records of all of these patients. All patients underwent
45 revision arthroplasty by 6 experienced shoulder surgeons.

46 We excluded any surgeries without intra-operative culture and included any revision
47 shoulder arthroplasties with intra-operative culture. Fifty five surgeries (11.8%) did not have any
48 intra operative culture and were excluded from the study. A retrospective analysis was performed
49 on 537 surgeries (429 patients) that had at least one intra-operative culture (from swabs, tissue or
50 removed implants) after revision shoulder arthroplasty. Each patient's history and physical
51 examination findings before revision were also reviewed. Recorded data included fever.
52 Preoperative investigations in patients suspected to have infection included a white-blood-cell
53 (WBC) count, percentage of polymorphonuclear cells, erythrocyte sedimentation rate (ESR), C-
54 reactive protein (CRP), joint aspiration and Technetium/Indium bone scan. Intra-operative
55 investigations included culture of periprosthetic tissue and histologic evaluation of frozen
56 sections from intra-operative samples of periprosthetic tissue.

57 A positive result (suggestive of infection) or a negative result (not suggestive of
58 infection) was defined for each frozen section. With use of the criteria of Mirra (6) a result of the
59 frozen section was considered positive when any single high-power field contained at least 5
60 stromal neutrophils. For this study, only 1 culture had to be positive for the shoulder to be

61 considered culture positive. The white-blood-cell count was considered to be elevated when it
62 was more than $11.0 \times 10^9/L$. The number of polymorphonuclear cells was considered increased
63 (a so-called left shift) when more than 80% of the total white-blood-cell count consisted of
64 granulocytes. For the purposes of this analysis, an erythrocyte sedimentation rate of more than 22
65 mm/h and a C-reactive of more than 1 mg/dl deemed a positive result. We also adjusted the ESR
66 for age but not for patients with active inflammation. We used the following formula to adjust
67 the ESR for age. Men= age/2 and Women= (age+10)/2 (7).

68 A preoperative aspiration was obtained in those surgeries suspected of having a chronic
69 occult infection. These aspirations were considered positive (suggestive of infection) if any
70 culture was positive.

71 Infected shoulder arthroplasties were treated with appropriate antibiotic after surgery.

72

73 **Statistical Methods**

74 Descriptive statistics are reported as either mean (range) or frequency (percentage). The
75 performance of pathology, elevated WBC, elevated Neutrophils, elevated CRP, and abnormal
76 ESR were compared with the result by culture for the identification of any organism. Results
77 reported are sensitivity, specificity, positive predictive value (PPV), and negative predictive
78 value (NPV), along with 95% exact binomial confidence intervals. Pair-wise comparisons
79 among tests (pathology, elevated WBC, elevated Neutrophils, elevated CRP, and abnormal ESR)
80 for sensitivity, as well as specificity, were made using a Mc Nemar test. The significance tests
81 reported are not/are adjusted for multiple comparisons, a Bonferroni adjustment would require a
82 p-value<0.005 to be statistically significant.

83 The alpha-level was set at 0.05 for statistical significance.

84

85 **RESULTS**

86 This retrospective assessment included 537 surgeries (429 patients) that had at least one
87 intra-operative culture after revision shoulder arthroplasty. The mean age of the patients at the
88 time of revision shoulder arthroplasty was 64 years (range 23-89 years). There were 220 (51%)
89 men and 209 women (49%). Seventy one patients underwent 2 revision shoulder arthroplasties,
90 eight underwent 3 and seven underwent 4 revision shoulder arthroplasties. Three hundred and
91 eighteen (59%) revision shoulder arthroplasties were done on right and 219 (41%) on left
92 shoulders. The mean follow-up time for all surgeries (537) was 3.7 years (range, 0-15.4 years)
93 [Table 1].

94 The mean follow-up time for 368 culture negative revision shoulder arthroplasties was
95 3.6 years (range, 1 day-15.4 years) [Table 1].

96 Cultures were positive in 169 of the 537 surgeries (31.5%). Among those 169 infected
97 revision shoulder arthroplasties, 63.9% were solely positive for *Propionibacterium Acnes* (P-
98 Acnes) and 36.1% were positive for other bacteria [Table 2]. An average of 3.0 cultures was
99 taken per operation. The mean number of positive cultures in infected revision shoulder
100 arthroplasties was 3.5. An average of 3.2 cultures was taken for the 108 revision shoulder
101 arthroplasties cultured positive with only P-Acnes, and an average of 4.1 cultures was taken for
102 the 61 revision shoulder arthroplasties cultured positive for all others. In the 368 revision
103 shoulder arthroplasties with no infection an average of 2.8 cultures were taken.

104 No patients presented with fever. The mean preoperative leukocyte count was 7.1 (range

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105 3.4 – 14.0) in culture negative revision shoulder arthroplasties and 7.0 (range 3.0 – 12.7) in
106 culture positive revision shoulder arthroplasties.

107 Table 3 shows the mean preoperative leukocyte count, mean polymorphonuclear, mean
108 CRP and mean ESR in P-Acnes culture positive revision arthroplasty versus non P-Acnes culture
109 positive revision shoulder arthroplasty [Table 3].

110 Table 4 shows the sensitivity, specificity, PPV and NPV of WBC, PMN, ESR and CRP
111 [Table 5].

112 Culture of aspiration was done before 34 (6.3%) revision shoulder arthroplasties. It was
113 negative in 26 revision shoulder arthroplasties and positive in 8 revision shoulder arthroplasties.
114 Five aspirations grew P-Acnes and 3 CNS. There were 9 false negative and 1 false positive
115 aspirations. The false positive aspiration grew P-Acnes. Four false negative aspirated shoulders
116 grew CNS from intra-operative cultures, 3 P-Acnes and 2 of them, both CNS and P-Acnes
117 [Table 4].

118 Bone scans (technetium, indium) were performed on 53 (9.9%) patients. It was positive
119 for osteomyelitis in just one patient. Intra-operative culture grew CNS in 8 of the revision
120 shoulder arthroplasties and P-Acnes in 12 of the revision shoulder arthroplasties. Table 4 shows
121 the sensitivity, specificity, PPV and NPV of aspiration and bone scan [Table 4].

122 Pathologic evaluation was performed at the time of the revision in 503 (93.7%) revision
123 shoulder arthroplasties. An average of 1.4 pathologies was sent per revision shoulder
124 arthroplasty. Sixty (11.9%) pathologies were originally read as being positive for acute
125 inflammation. Pathology was true positive in 34 (6.8%) revision shoulder arthroplasties, true
126 negative in 317 (63.0%) revision shoulder arthroplasties, false positive in 26 (5.2%) revision

127 shoulder arthroplasties and false negative in 126 (25.0%) revision shoulder arthroplasties. Table
128 4 shows the sensitivity, specificity, PPV and NPV of the pathology [Table 4].

129 Pathology was true positive in 14 (2.8%) and false negative in 89 (17.7%) P-Acnes
130 positive surgeries, a sensitivity of 13.6%. Pathology was true positive in 20 (4.0%) and false
131 negative in 37 (7.4%) of non P-Acnes culture positive revision shoulder arthroplasties, a
132 sensitivity of 35.1%. Table 5 shows the sensitivity, specificity, positive and negative predictive
133 value of intra operative pathology for revision shoulder arthroplasties with positive culture for P-
134 Acnes only versus any other findings (i.e. any other positive cultures and negative culture
135 results) [Table 5].

136 The sensitivity of pathology, CRP, and ESR was significantly higher than either WBC or
137 PMN ($p<0.05$). Also, pathology and CRP have a significantly higher sensitivity than ESR
138 ($p<0.05$). CRP has a significantly lower specificity than any of the other four tests. Additionally
139 pathology has a significantly lower specificity than either WBC or PMN ($p<0.05$) ([Table 4].

140

141 **DISCUSSION**

142 We reviewed the results of 537 revision shoulder arthroplasty that was done in our
143 institution between January 1, 1994 and December 30, 2008. Cultures were positive in 169
144 (31.5%) surgeries. P-Acnes was the most common cause of infection (63.9%) and, CNS was the
145 second (23.1 %) most common cause in our study. Similar results have been reported in other
146 published series in the literature (4, 5, 8, 9).

147 Propionibacterium acnes is a gram-positive, non-spore-forming, anaerobic bacillus that is
148 usually found in skin sites with high numbers of sebum excreting sebaceous follicles (1, 10).

149 Men are reported to more commonly have an infection caused by P-Acnes than women (1, 10-
150 12). P-Acnes is difficult to culture. It can reside intracellularly and remain in a dormant state for
151 weeks. False-negative results are common when samples are cultured for only 5 days and,
152 prolonged incubation (up to 14 days) are required to isolate it (10). This organism usually
153 inoculates the periprosthetic tissue at the time of implant placement and remains in a relatively
154 quiescent biofilm state (1, 10). It is usually associated with low grade infections and typically
155 present with subtle signs (unexplained pain and/or stiffness) and often presents late (usually
156 within 24 months of implantation) (8, 9, 13-15).

157 Unexpected positive intra operative culture has been reported in many studies before and
158 the most common organism has been P-Acnes. There is no consensus for the diagnosis of a true
159 subclinical infection and defining an indolent infections after total shoulder arthroplasty is still a
160 challenge (4, 5).

161 In our study an average of 3 cultures was taken per operation. Some of our patients had
162 just one intra-operative culture. Atkins et al. noted that there is a tendency to submit fewer
163 specimens for culture when the intra-operative findings suggest a non-infective scenario (16).
164 Some authors have considered a single periprosthetic tissue culture positive to indicate
165 infection(5, 17-19). There is no consensus in the literature on the ideal number of cultures that
166 should be taken during revision shoulder arthroplasty surgery.

167 Although the sensitivity and specificity of intra-operative pathology for P-Acnes positive
168 surgeries compared to all culture positive surgeries combined were lower but the difference was
169 not significant. The PPV and NPV of intra-operative pathology for P-Acnes positive surgeries
170 compared to all culture positive surgeries combined were significantly lower in current study.

171 Overall, none of the preoperative tests (WBC, PMN, ESR, CRP, aspiration and bone
172 scan) in our study were sensitive enough [Table 4] to diagnose the infected shoulder arthroplasty
173 and this has been reported by several other studies before (5, 9, 14, 20, 21).

174 For example, Kelly et al reported elevated WBC and PMN in 4% (1/28), elevated CRP in
175 42% (5/12) and ESR in 25% (4/16) of culture positive revision shoulder arthroplasty (13).
176 Topolski et al reported 9.6% (7/73) positive intra-operative pathology in culture positive revision
177 shoulder arthroplasties (5).

178 In our study, all of the perioperative tests had a high specificity and negative predictive
179 value, but low sensitivity and positive predictive value. The high Specificity and NPV of the
180 tests in our study is the result of big sample size of culture negative shoulder arthroplasty. A
181 negative test result is useful in the exclusion of deep infection, but the presence of a positive test
182 is not sensitive or predictive enough to be of value and this has been similar to other studies
183 (9,18). So the result of these tests (especially when positive) should be reviewed in conjunction
184 of the overall clinical picture.

185 Our study has a few limitations. First, it was a retrospective study that can have
186 significant patient and treatment selection biases. Secondly, the number of cultures was not
187 consistent in all of the patients in our study; there was not a standardized protocol for
188 perioperative tests and cultures including timing of preoperative blood tests. Nevertheless, to our
189 knowledge, our study is the biggest in the literature to determine the value of perioperative
190 laboratory studies in predicting infected shoulder arthroplasty.

191

192 **CONCLUSION**

193 In conclusion, the data from this study suggest that there are no good single preoperative
194 or intra-operative investigations to detect who will have a positive intra-operative culture at the
195 time of revision shoulder arthroplasty and the whole clinical and para-clinical picture should be
196 considered. We think that a standardized protocol to work up the patients before revision
197 shoulder arthroplasty to detect the infection should be established. Also there is a need for
198 further prospective studies.

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